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20999 7590 07/02/2007

**FROMMER LAWRENCE & HAUG**  
**745 FIFTH AVENUE- 10TH FL.**  
**NEW YORK, NY 10151**

09/24/2007 RHEBRAH1 00000109 09866288

01 FC:1501 1400.00 OP  
 02 FC:1504 300.00 OP  
 03 FC:8001 9.00 OP

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/866,288

05/25/2001

Mamoru Ueda

450100-03378

4611

TITLE OF INVENTION: METHOD AND APPARATUS FOR DECODING AND RECORDING MEDIUM FOR A CODED VIDEO STREAM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	10/02/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
SHIBRU, HELEN	2621	386-111000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **FROMMER LAWRENCE & HAUG LLP**  
 2 **WILLIAM S. FROMMER**  
 3 **PAUL A. LEVY**

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

**SONY CORPORATION**

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

**TOKYO, JAPAN**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

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- ☒ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0320 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature William S. Frommer

Date September 21, 2007

Typed or printed name WILLIAM S. FROMMER

Registration No. 25,506

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**CERTIFICATE OF MAILING****Issue Fee Due****Publication Fee**

Serial No. : 10/817,663  
**Attorney Docket** : 450100-05007  
First Named Inventor : Hideyuki Shimizu

October 1, 2007

\$300.00

Serial No. : 09/293,702  
**Attorney Docket** : 450117-4866  
First Named Inventor : Ralf Schaefer

October 1, 2007

No

Serial No. : 11/584,789  
**Attorney Docket** : 450101-03210.2  
First Named Inventor : Tetsujiro Kondo

October 1, 2007

\$300.00

Serial No. : 09/866,288  
**Attorney Docket** : 450100-03378  
First Named Inventor : Mamoru Ueda

October 2, 2007

\$300.00

Serial No. : 11/193,664  
**Attorney Docket** : 450100-03148.1  
First Named Inventor : Fumiyoshi Abe

October 2, 2007

\$300.00

Serial No. : 10/219,566  
**Attorney Docket** : 450100-04102  
First Named Inventor : Yasuo Nakano

October 2, 2007

\$300.00

Serial No. : 09/904,319  
**Attorney Docket** : 450100-03342  
First Named Inventor : Jun Watanabe

October 2, 2007

\$300.00

Serial No. : 10/473,494  
**Attorney Docket** : 450100-03812  
First Named Inventor : Akira Sugiyama

October 3, 2007

\$300.00

I hereby certify that the issue fee payments for the above-referenced applications are deposited with the United States **September 21, 2007** via "Express Mail # **EV 959078628 US** and addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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9/21/07  
Date